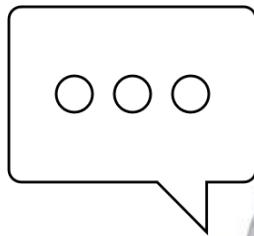




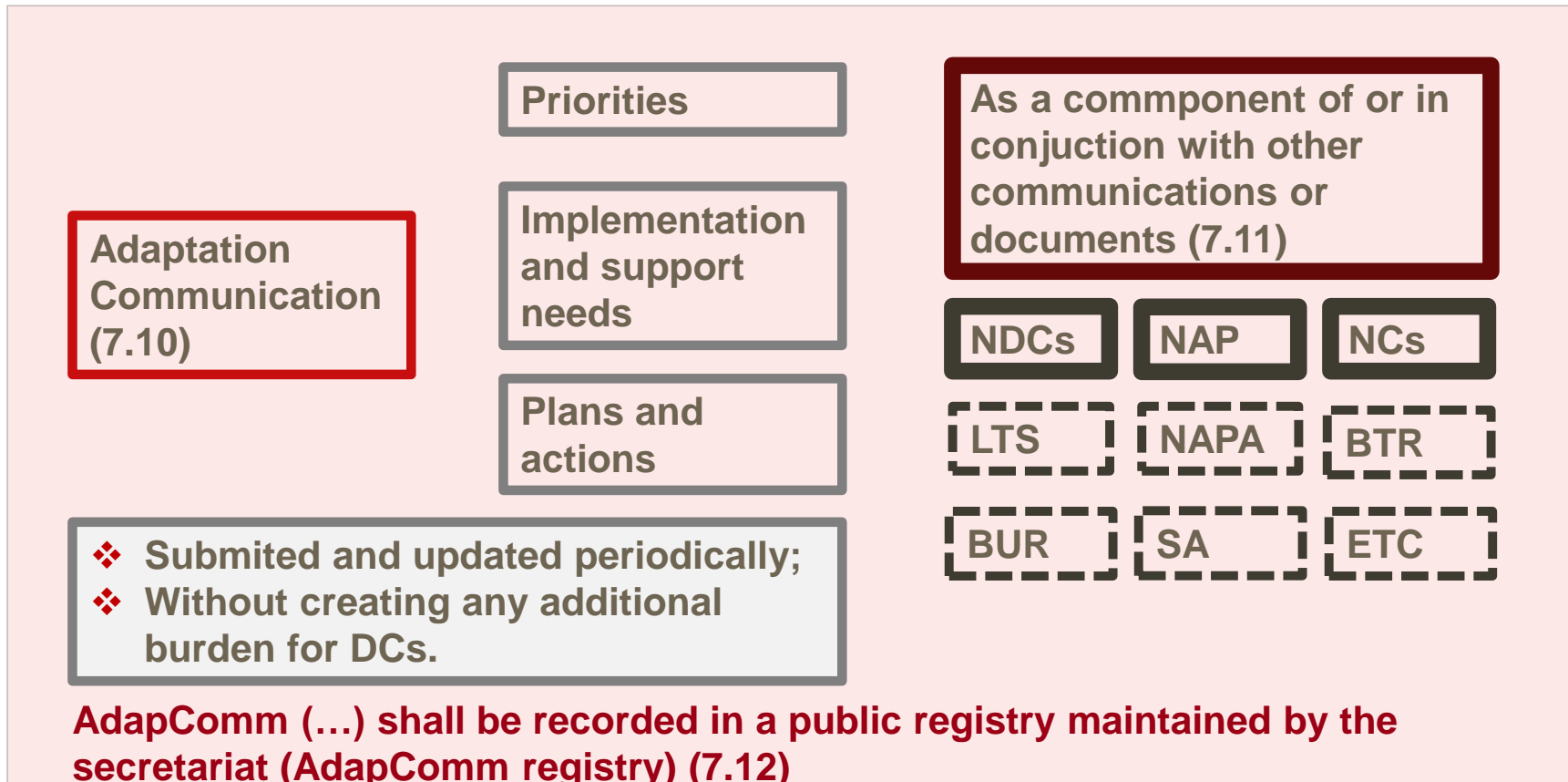
# Developing guidelines for the adaptation communication



8<sup>th</sup> Annual Partnership Retreat (APR) 2019 of the Partnership on Transparency in the Paris Agreement  
“Enhanced Transparency Framework: Getting ready for the transition.”  
Beirut, Lebanon, 18 to 23 October 2019



# Paris Agreement



7.10 Each Party *should as appropriate*, submit and update *periodically* an adaptation communication, which may include its *priorities, implementation and support needs, plans and actions*, without creating any additional burden for developing country Parties.



## Kattowice 9/CMA.1

*Further guidance in relation to the adaptation communication, including, inter alia, as a component of nationally determined contributions, referred to in Article 7, paragraphs 10 and 11, of the Paris Agreement*

**2.a Country-driven**

**5. Registry 10/CAM.1**

- ❖ Provisions of the Convention and PA, part. Art. 7
- ❖ Flexibility provided by Art. 7.10 & 7.11
- ❖ NAPA and NAP
- ❖ Links of adaptation & sustainable development and SFDRR

**2.b SHALL not pose additional burden & not objective to review**

**3 cf. 7.11**

**6. Time – if chosen to submit an AdapComm - GST**

**4. BTR on impacts and adaptation as stipulated in 13.8 of PA**

**7. Also invites Parties, according to their national circumstances and capacities, to provide in their adaptation communication information on the **elements referred to in paras. (a-d)** of the annex and **to provide, as appropriate,** additional information on the **elements referred to in paras (e-i)** of the annex.**

**8. ex-ante**

**9. Tailored to vehicle & 10. specify section**

**Increase visibility / Strengthen adaptation action and support for DCs / GST / Learning of adaptation needs & actions**



## Kattowice 9/CMA.1 Part II

### Annex - Elements of an adaptation communication

#### Voluntary

A. National circumstances, institutional arrangements and legal frameworks

B. Impacts, risks and vulnerabilities, **as appropriate**

C. National adaptation priorities, strategies, policies, plans, goals and actions;

D. Implementation and support needs of, and provision of support to, developing country Parties

#### Also voluntary

#### E. Implementation of adaptation actions and plans, including:

- (i) Progress and results achieved;
- (ii) Adaptation efforts of developing countries for recognition;
- (iii) Cooperation on enhancing adaptation at the national, regional and international level, **as appropriate**;
- (iv) Barriers, challenges and gaps related to the implementation of adaptation;
- (v) Good practices, lessons learned and information-sharing;
- (vi) Monitoring and evaluation

F. Adaptation actions and/or economic diversification plans, including those that result in mitigation co-benefits

G. How adaptation actions contribute to other international frameworks and/or conventions

H. Gender-responsive adaptation action and traditional knowledge, knowledge of indigenous peoples and local knowledge systems related to adaptation, **where appropriate**



Also voluntary

GIZ Zusammenarbeit (GIZ) GmbH

# Kattowice 9/CMA.1 Part II

E. Implementation of adaptation actions and plans, including:

- (i) Progress and results achieved;
- (ii) Adaptation efforts of developing countries for re...

Annex - Elements of a communication

Voluntary

A. National circumstances, institutional arrangements and legal frameworks

B. Impacts, risks and vulnerabilities, as appropriate

I. Any other information related to adaptation.

of, support to, developing country Parties

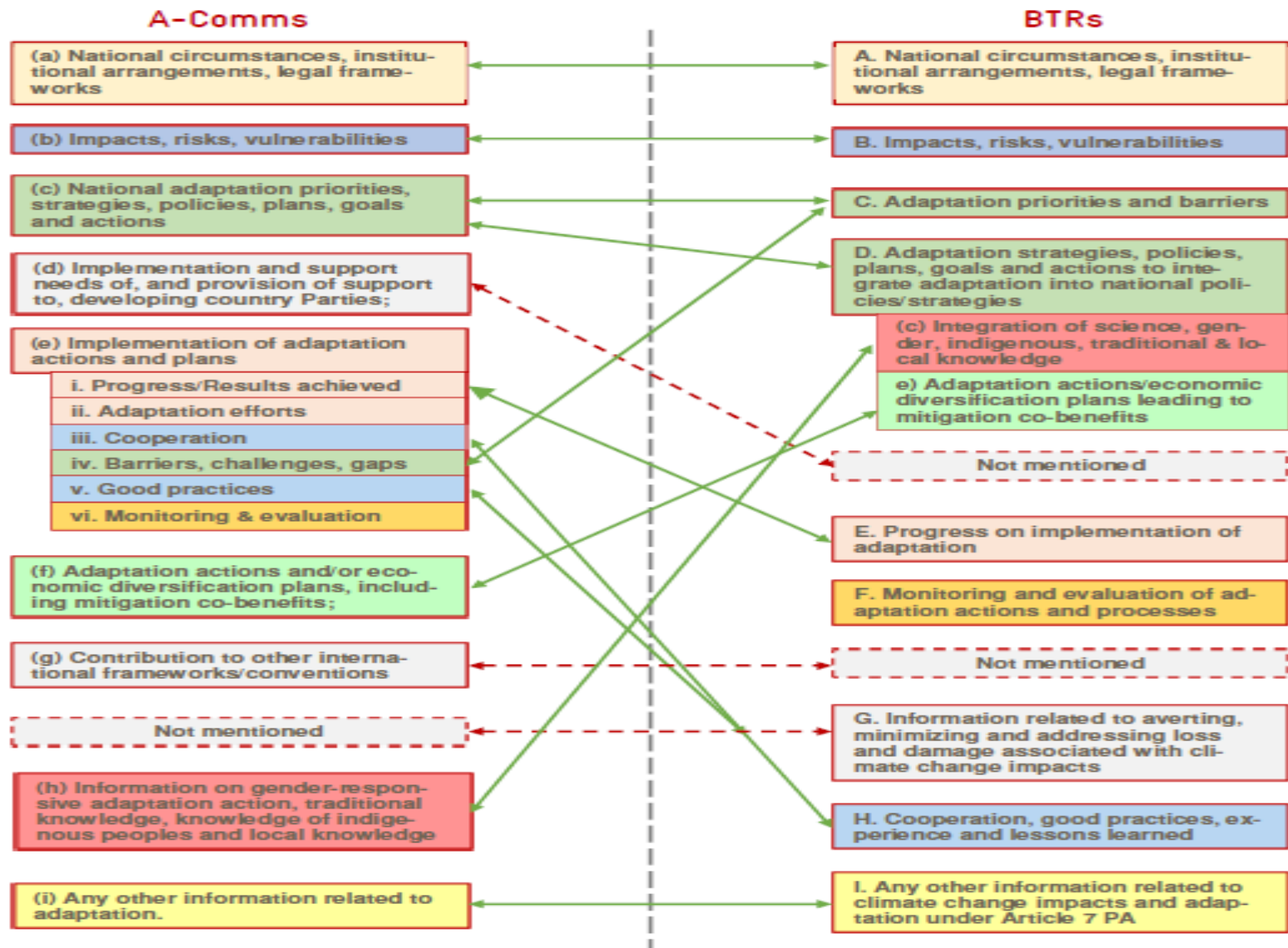
adaptation at the national, appropriate; related to the information-

economic those that

is contribute to networks and/or

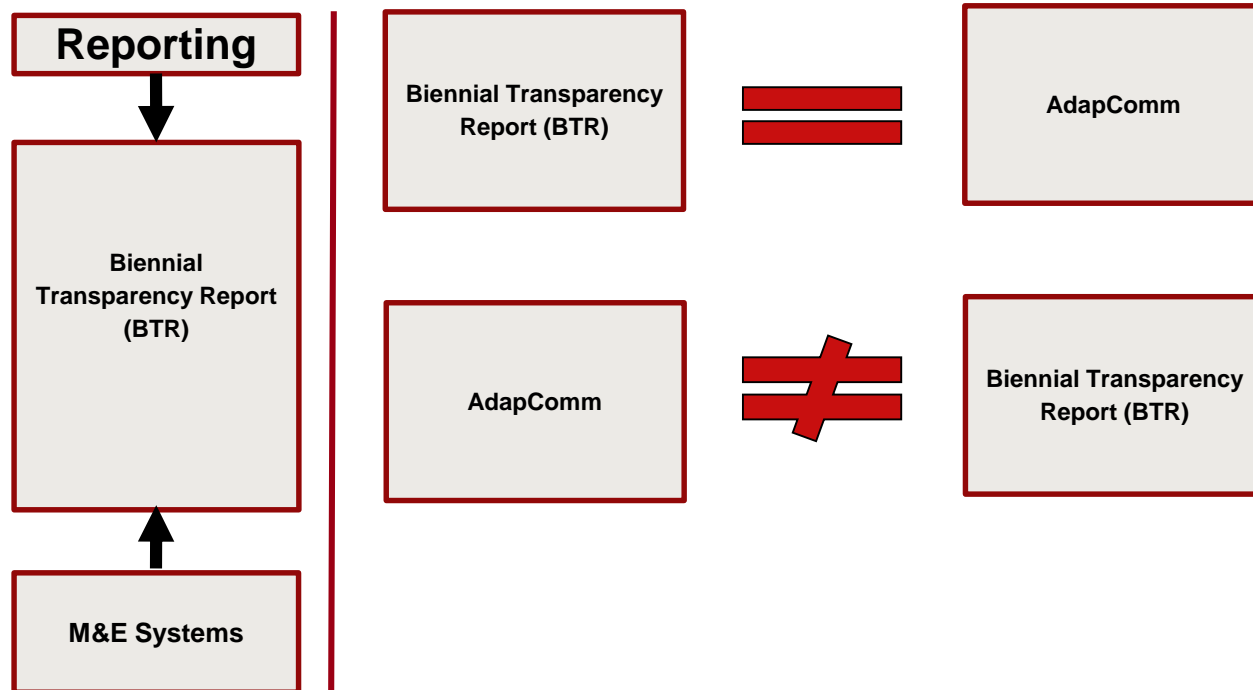
er-responsive adaptation action traditional knowledge, knowledge of indigenous peoples and local knowledge systems related to adaptation, where appropriate

# The Elements of the Adaptation Communications & Biennial Transparency Reports





## A-BTR (Section IV) & AdapComm

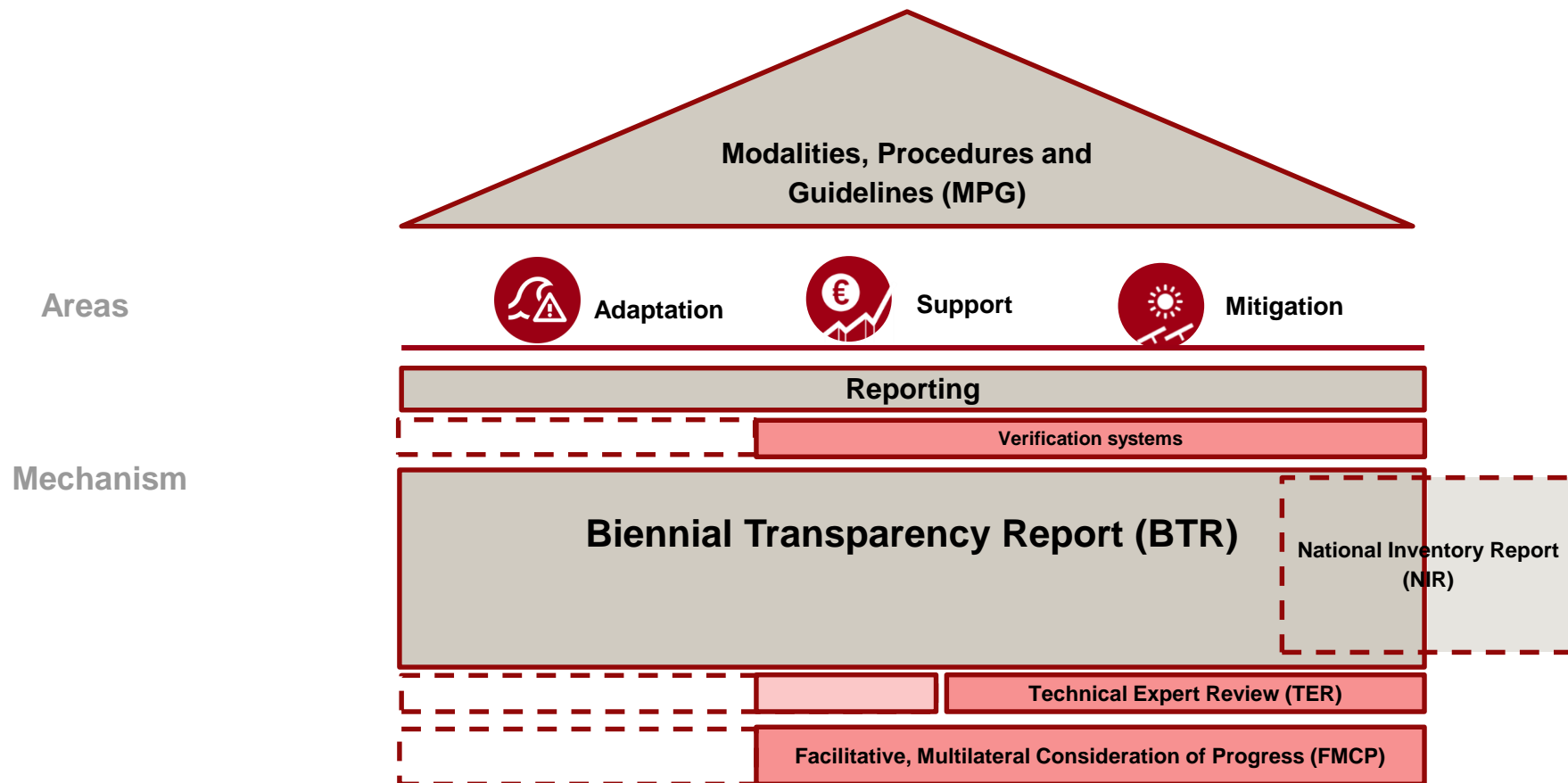


BTRs as of 2024\* SIDS and LDCs at their own discretion

# # M&E



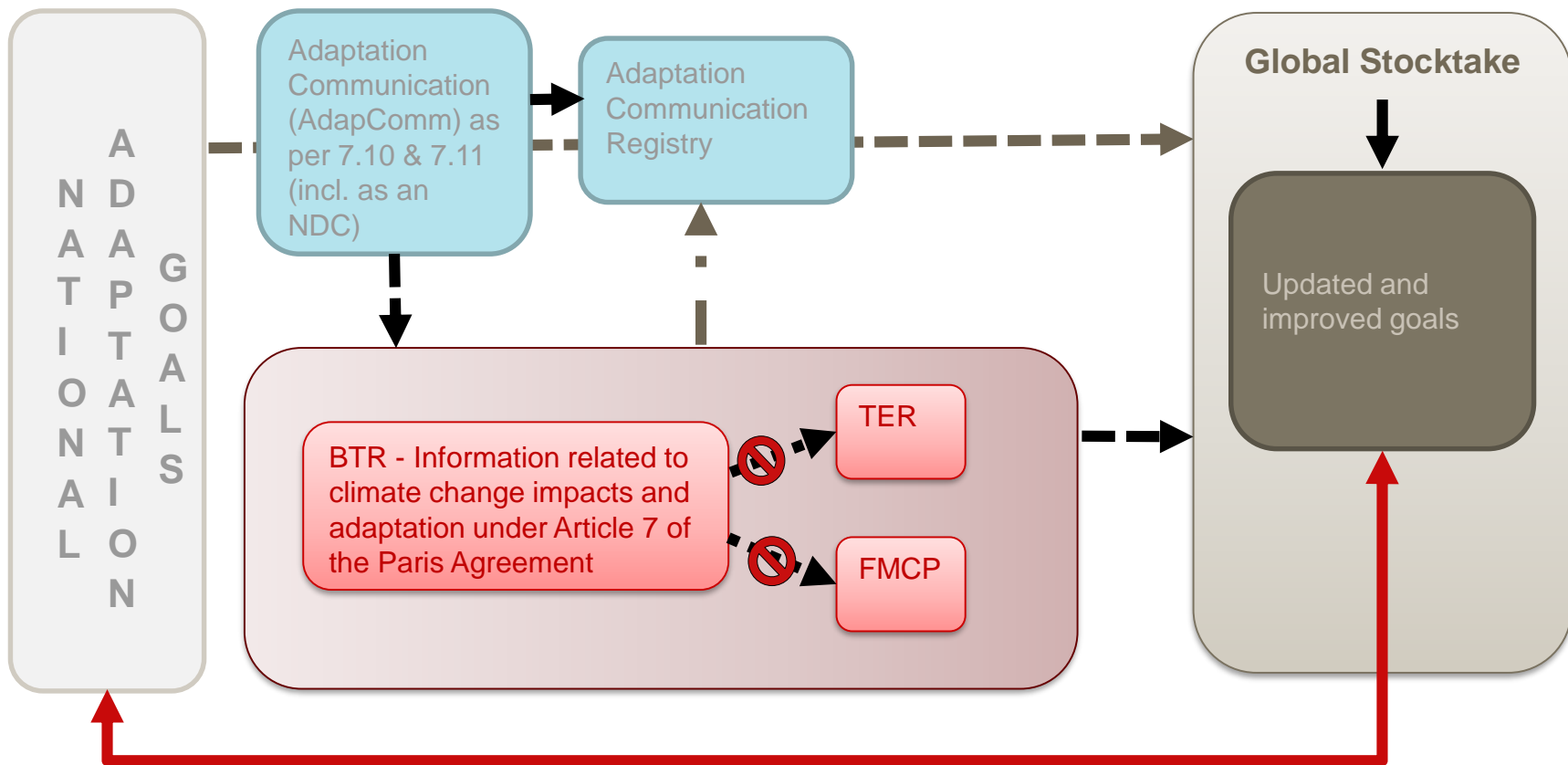
# Transparency Framework







## Adaptation Communications and BTR – GST cycle



**# M&E**



## Kattowice 9/CMA.1 Part III

15. Adaptation Communication (7.10) In Katowice, the **CMA requested the Adaptation Committee (AC) to develop, with the engagement of the Intergovernmental Panel on Climate Change (IPCC) Working Group II**, using relevant existing guidance as a starting point, as appropriate, by **June 2022**, draft supplementary guidance **for voluntary use by Parties** in communicating information in accordance with the **elements contained in the annex of decision 9/CMA.1**, for consideration by the subsidiary bodies at their fifty-seventh sessions (**November 2022**) in the context of their consideration of the report of the AC.

9/CMA.1, paragraph 15.

Timeline

Assessment of existing guidelines and tools

Product



## Kattowice 9/CMA.1 Part III

15. Adaptation Communication (7.10) In Katowice, the CMA requested the Adaptation Committee (AC) to develop, with the engagement of the Intergovernmental Panel on Climate Change (IPCC) Working Group II, using relevant existing guidance as a starting point, as appropriate, by June 2022, draft supplementary guidelines for voluntary adaptation communication in accordance with the elements contained in the annex of decision 9/CMA.1, for consideration by the subsidiary bodies at their fifty-seventh sessions (2022); in the context of their consideration of the report of the AC.

16. **Decides to take stock of, and if necessary revise, the guidance contained in this decision, taking into account the submissions referred to in paragraph 17 below and the synthesis report referred to in paragraph 18 below, at its eighth session (2025);**

9/CMA.1, paragraph 15.

Timeline

Assessment of existing guidelines and tools

Product

**FIGURE 1: THE CLIMATE RISK CONTINUUM: AVERT CLIMATE CHANGE THROUGH MITIGATION, MINIMIZE CLIMATE RISKS THROUGH ADAPTATION AND DISASTER RISK PREPAREDNESS AS WELL AS ADDRESS REMAINING CLIMATE RISKS**

## Climate risk continuum

Avert, minimize and address climate risks



### Climate Change Mitigation

e.g. wind and solar power, low-carbon transport and reduced deforestation



### Climate Change Adaptation

e.g. climate-smart agriculture, ecosystems-based adaptation



### Disaster Risk Preparedness

e.g. contingency planning, resilient recovery



### Finance Instruments for Risk Transfer


e.g. climate risk insurance, social protection



### Transformational Approaches

e.g. transition of systems, structures and behaviour, such as energy systems ("Energiewende")

Source: GIZ Global Programme on Risk Assessment and Management for Adaptation to Climate Change



*Introduction to the*  
**Drafting Assistance for  
Adaptation Communications  
(DAAC)**

For Q&A and Piloting contact: [Na-Hyeon Shin](#)  
**GIZ** Climate Policy Support Programme



## Rationale for the Drafting Assistance

- Anticipated need for guidance in the formulation of A-Comms  
→ Suggested elements for the A-Comms very broad
- Contribution to avoiding additional burdens for developing country Parties
- Supporting alignment of the Adaptation Communications with other communications (i.e. NDC adaptation components, NAPs, BTRs,...)
- Providing a potential input for the supplementary guidance of the Adaptation Committee



## Structure and Components

- Introductory text (A-Comms background, target user group)
- **“Check-list” table**
  - Structure following “Elements of an adaptation communication“ (Annex of COP24 Decision 9/CMA.1)
  - Reference to existing guidance documents, tools
  - Space to refer to existing national documents
  - Envisaged: **Web tool**, automatically combining text passages to a “ready-to-submit” Adaptation Communication





## GIZ Drafting Assistance for Adaptation Communications

**\*Please note: The following checklist is providing guidance to support countries that do not intend to use their NAP document as adaptation communication. Guidance on the formulation of a NAP document is provided by the UNFCCC Least Developed Countries Group (LEG) in form of the [NAP Technical Guidelines](#).**

Adaptation Communication Element (a)-(i)	Check-list	Text	Existing Documents	Relevant Sources
*Elements as suggested in the guidance on Adaptation Communications in Decision 9/CMA.1	*Check if applicable	*Please further elaborate on the answer to the question in this field. The text will be automatically collected at the bottom of the page, finally constituting a first draft of your country's Adaptation Communication.	*Insert title (and link) to existing documents	*Refer to these sources if assistance or conceptual guidance is needed
<b>(a) National circumstances, institutional arrangements, legal frameworks</b>				
Please elaborate on the national circumstances relevant to adaptation actions, including biogeophysical characteristics, demographics, economy, infrastructure and information on adaptive capacity.				
Please elaborate on national policy frameworks and regulations on climate change.				
Please elaborate on institutional arrangements and governance, i.e. the institutions mandated with the implementation of the Paris Agreement and overseeing the national adaptation planning and actions.				
Please elaborate on the existing legal frameworks on climate change or relevant for climate change adaptation.				





## Check-list content

### a) National circumstances, institutional arrangements and legal frameworks

- **National circumstances** relevant to adaptation actions: i.e. biogeophysical characteristics, demographics, economy, infrastructure and information on adaptive capacity
- National **policy frameworks and regulations** on climate change
- **Institutional arrangements** & governance
- Existing **legal frameworks** on climate change or relevant for climate change adaptation



## **b) Impacts, risks and vulnerabilities, as appropriate**

- **Climate risk and/or vulnerability assessment**
  - Specific climate risks/climate induced disasters for the country
  - Particularly vulnerable regions, cities, social groups, sectors
- Impacts on **biodiversity** and **ecosystems**
- Linkages to **disaster risk reduction**



## **c) National adaptation priorities, strategies, policies, plans, goals and actions**

- Existing:
  - Climate Change Adaptation Policy Framework
  - NDC, NAP, NatComm, NAPA
- Alignment (amongst each other & with other global agendas)
- Timeframes
- Mainstreaming into development planning



## **d) Implementation and support needs of, and provision of support to, developing country Parties**

- Domestic **technical capacities** for planning & implementing
- Analysis and quantification of **national costs** for CCA & assessment of **domestic budget potential**
- Assessment of **technology** development & transfer
- **Support needs** in capacity building, finance, technology transfer based on the assessments
- **Support already received** through international or bilateral cooperation



## e) Implementation of adaptation actions and plans, including

- i. Progress and results achieved*
  - Current status of overall progress of planned and already implemented adaptation actions
- ii. Adaptation efforts of developing countries for recognition*
  - Adaptation efforts undertaken with **domestic capacities**
  - Support provided by developing countries (**South-South cooperation**)
- iii. Cooperation on enhancing adaptation at the national, regional and international level, as appropriate*
  - Sub(national): whole-of-government/society engagement?
  - Regional: e.g. regional centres and networks
  - International: e.g. global multi-stakeholder initiatives



## **e) Implementation of adaptation actions and plans, including**

- iv. Barriers, challenges and gaps related to implementation*
  - Financing | Technical capacities | Availability of knowledge | Data & technology | Other barriers
  
- v. Good practices, lessons learned and information-sharing*
  - Along the policy cycle (from stocktaking → M&E)
  - Institutional arrangements & capacity building
  
- vi. Monitoring and evaluation*
  - M&E System in place  
(scope, indicators, timeframe, roles & responsibilities)
  - Reporting mechanisms on M&E system  
(linkage to NatComms & synergies to other reporting frameworks)



- f) Adaptation actions and/or economic diversification plans, including mitigation co-benefits**
- g) Contribution to other international frameworks/conventions**  
→ 2030 Agenda, Sendai Framework, Convention on Biodiversity
- h) Information on gender-responsive adaptation action, traditional knowledge, knowledge of indigenous peoples and local knowledge**  
→ e.g. consideration & inclusion in consultation processes, availability of disaggregated data
- i) Any other information related to adaptation**



# GIZ Drafting Assistance for Adaptation Communications

Step 1 of 3 - (a) National circumstances, institutional arrangements, legal frameworks

33%

(a) National circumstances, institutional arrangements, legal frameworks

**Please elaborate on the national circumstances relevant to adaptation actions ?**

including biogeophysical characteristics, demographics, economy, infrastructure and information on adaptive capacity





**Please elaborate on institutional arrangements and governance ?**

**Please elaborate on the existing legal frameworks on climate change or relevant for climate change adaptation.**

**Next**

Save and Continue Later

# Thank you!

## ...any questions?

**Na-Hyeon Shin**

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I: [www.adaptationcommunity.net](http://www.adaptationcommunity.net)





## Issues for discussion

- **Ex-ante nature** of the A-Comms (also in relation to BTRs on adaptation)
  - Not consistent, e.g. “progress/results achieved”, “efforts for recognition”, “lessons learned”
  
- **Division of gaps/needs** in elements d) and e)
  - Reason for the division?
  
- “Actions” mentioned within c) as well as e)
  - Different perspectives?
  
- Positioning of **financial** estimation & assessments
  
- Different approach to elements a)-d) and e)-i)
  - as e)-i) considered as „additional information“ (9/CMA.1, para. 7)



# Thank you!

For information on adaptation related products and tools visit our website  
[AdaptationCommunity.net](http://AdaptationCommunity.net)  
and follow us on twitter [@Adapt\\_Community](https://twitter.com/Adapt_Community) [@NavinaSanchez](https://twitter.com/NavinaSanchez)



## # M&E